

GRADUATE PROGRAM IN  
**STATISTICS**  
Graduate Student Handbook



2020-2021

**UC DAVIS**  
UNIVERSITY OF CALIFORNIA

## CONTENTS

Introduction to Davis: p3

MASTER'S PROGRAM IN STATISTICS: p6

Data Science Track: p7

PH.D. PROGRAM IN STATISTICS: p10

Biostatistics Track: p16

List of STATISTICS COURSES: p17

Special Regulations: p18

FINANCIAL SUPPORT: p20

FEES and fee remissions: p21

FACULTY of the Graduate Program in Statistics: p22

Graduate Program Committees: p25

STAFF: p26

Department Map: p27

History of the Department: p28

Code of ACADEMIC CONDUCT: p31-32

Academic Calendar: p33

# GRADUATE PROGRAM IN STATISTICS

UNIVERSITY OF CALIFORNIA, DAVIS

## INTRODUCTION TO DAVIS

The University of California has ten campuses, of which Davis is the third largest. The campus started as an agricultural school (first admitting students in 1908) and later established the School of Veterinary Medicine. It became a general campus in 1959. Since that time, the campus has expanded to over 35,400 students, with undergraduate and graduate programs in many fields, and the professional schools of law, management, medicine and veterinary medicine. Despite the size of the campus, it has retained many of the attributes of a small college, with an informal atmosphere and excellent student-faculty interaction.



The city of Davis has been called the most “energy-conscious” city in the United States. It is an interesting, forward-looking city of about 65,600 located in California’s Central Valley. It lies 15 miles west of Sacramento, the State capital, and 75 miles northeast of San Francisco. Davis residents can take advantage of the recreational opportunities of Lake Tahoe, Northern Sierra Nevada, Napa Valley, and the beaches of northern California.

## DEPARTMENT OF STATISTICS AND GRADUATE PROGRAM IN STATISTICS

The Department of Statistics is located in the Division of Mathematical and Physical Sciences in the College of Letters and Science at UC Davis. The department has sixteen full-time senate faculty members and one federation faculty member, and there are usually several visiting faculty and lecturers. The research interests of the faculty cover a broad spectrum, and include applied statistics, theoretical statistics and biostatistics. Faculty interests extend to a variety of other disciplines such as medicine, biology, environmental science, transportation, engineering, computer science, epidemiology, psychology, social science, demography and reliability. For more details on research, view the faculty home pages and research profile pages, which can be reached, via the Statistics web site, at <https://statistics.ucdavis.edu/>.

The Graduate Program in Statistics consists of twenty-five faculty including members affiliated with various departments on campus. The graduate programs in statistics are based on the premise that good applications are built on strong foundations in theory and methods. Thus, the core program for every graduate student in statistics includes graduate level core courses in mathematical statistics, applied statistics and multivariate analysis. Students obtain training in computational statistics and can choose from a variety of special topics courses.

Statistics is a subject area of remarkable breadth and diversity. While research problems in statistics often have fascinating mathematical aspects, the beauty of the subject lies in its wide applicability. Research in statistics often addresses a problem of data analysis or data interpretation, motivated from real applications in agriculture, engineering, environmental, biological, medical or social sciences. A complete solution to such a problem typically includes statistical modeling, large sample mathematical analysis and computer work for implementing the method, often also a Monte Carlo study and asymptotic analysis. The interplay of theory and application within the field of statistics suggests that advanced training in the subject should be broadly based.

Each graduate student is assigned a graduate Advisor who will help design a program that is consistent with two important goals: (1) broad training in statistical theory, methods and data analysis, and (2) specialized training in the area(s) of application of particular interest. New and continuing students will consult with the graduate Advisor at least once per year before the start of classes to discuss their proposed program and coursework. Advanced coursework in applied fields such as ecology, econometrics, engineering or genetics may serve as elective breadth courses within the M.S. or Ph.D. programs. A Ph.D. program in Statistics: Biostatistics Track is also offered. Students in the Biostatistics Track obtain a solid background in mathematical statistics and at the same time acquire comprehensive skills in modern biostatistical methods, data analysis and applications.

## THE STATISTICAL LABORATORY

The "Stat Lab" is a center for statistical consultation and collaborative research. Hundreds of campus researchers consult the laboratory staff each year concerning statistical aspects of their research. Ph.D. students in statistics are required to do consulting in conjunction with the Statistical Laboratory for at least one quarter, in the form of the STA 260 (Statistical Practice and Data Analysis) course. The opportunity to work with researchers in other fields and to assist in planning and analyzing their experiments or surveys is a valuable educational experience that plays a key

role in the student's development as a mature researcher and collaborator on interdisciplinary research projects.

Website: <https://statistics.ucdavis.edu/stat-lab>

## COMPUTING SERVICES

The Department of Statistics maintains a Graduate Student Computing Laboratory with many Pentium 4 desktops, laser printers, scanners and copiers. The Department also operates a cluster of HP/Compaq DS10 Alpha servers, in addition to several Windows based domain controllers, file servers and backup servers. Several well equipped laptop computers are provided to faculty and students for presentations and classroom instructional use. Wireless networking services are also available. Standard software includes S+, R, SAS, Matlab and Minitab.

Campus Computing Services provides the campus with batch, interactive timesharing, and remote job entry mainframe computing. Many microcomputers and scientific workstations are provided for student use at no charge. There are over 300 terminals and microcomputers located throughout the campus, including a SUN Workstation Lab with 29 SUN 3/40 workstations, and a Graphics Workstation Lab with 6 DEC Station 5000s, 3 Silicon Graphics color workstations, and 4 NCD color X display stations.

## Further Information

For more details on course syllabi, see <https://ucdavis.pubs.curricunet.com/Catalog/>. For general regulations by Graduate Studies regarding exams, forms, etc. see <https://grad.ucdavis.edu/>. Regarding information for international students see <https://siss.ucdavis.edu/>.



# STATISTICS DEGREE PROGRAMS

## THE MASTER'S PROGRAM IN STATISTICS

<https://statistics.ucdavis.edu/grad/ms>

### ADMISSIONS REQUIREMENTS

An undergraduate major in mathematics or statistics is typical for statistics graduate students, but is not required. However, because of the mathematical nature of some of the graduate coursework, students should be able to demonstrate good mathematical ability. The applicant must complete the Office of Graduate Studies online application, with fee, by the stated deadline and include: one official transcript for each school attended, three letters of recommendation, and GRE scores taken within the last 5 years. TOEFL or IELTS scores are also required if the applicant's native language is not English. The program does not accept part-time students.

**Prerequisites:** The prerequisites for entrance into the master's program are as follows: a bachelor's degree with 3.0 overall grade-point average; one year of calculus; a course in linear algebra; facility with a programming language; and upper-division work in mathematics and/or statistics. **Deficiencies:** Students admitted with deficiencies must make up the coursework within the first academic year, and must achieve a grade of at least a B in each course.

### PROGRAM OF STUDY

The program of study will be developed and approved for each student by the Graduate Advisor in consultation with the student. This is a M.S. Plan II program (no thesis). A minimum of 44 units is required (of which at least 18 must be at the graduate level, according to university regulations). A comprehensive final examination in the major subject is required of each candidate. No thesis is required.

### COURSE REQUIREMENTS

Standard Track - 44 units total

**Core courses:** (total 32 units)

STA 200A Introduction to Probability Theory

STA 200B-200C Introduction to Mathematical Stats (4 units each)

STA 135 Multivariate Data Analysis (4 units)

STA 206, 207, 208 Statistical Methods & Research (4 units each)

One of the following two courses:

STA 242 Introduction to Statistical Programming (4 units), or

STA 243 Computational Statistics (4 units)

**Elective courses:** (total 12 units)

At least three courses selected from

- STA 137 Time Series Analysis (4 units)
- STA 138 Analysing Categorical Data (4 units)
- STA 141B Data & Web Technologies for Data Analysis (4 units)
- STA 141C Big Data & High Performance Stat Computing(4 units)
- STA 142 Reliability (4 units)
- STA 144 Sampling Theory of Surveys (4 units)
- STA 145 Bayesian statistical Inference (4 units)
- STA 260 Statistical Practice and Data Analysis (3 units)

or any four-unit letter grade graduate level course in Statistics.

The following courses can be used as substitutes:

- For students who enter the graduate program as Ph.D. students, successful completion of STA 232ABC substitutes for STA 206, 207, 208 as a requirement for the M.S. degree.
- With the permission of the Graduate Advisor, an internship coupled with STA 299 can substitute for an elective course.

Emphasis in Data Science Track - 48 units total

**Core courses:** (total 36 units)

- STA 135 Multivariate Data Analysis (4 units)
- STA 200A Introduction to Probability Theory
- STA 200B Introduction to Mathematical Stats (4 units)
- STA 141A Fundamentals of Statistical Data Science (4 units)
- STA 206, 207, 208 Statistical Methods & Research (4 units each)
- STA 209 Optimization for Big Data Analytics (4 units)

One of the following two courses:

- STA 242 Introduction to Statistical Programming (4 units), or
- STA 243 Computational Statistics (4 units)

**Elective courses:** (total 12 units)

At least one course selected from:

- STA 137 Time Series Analysis (4 units)
- STA 138 Analysing Categorical Data (4 units)
- STA 141B Data & Web Technologies for Data Analysis (4 units)
- STA 141C Big Data & High Performance Stat Computing(4 units)
- STA 142 Reliability (4 units)
- STA 144 Sampling Theory of Surveys (4 units)
- STA 145 Bayesian statistical Inference (4 units)
- STA 260 Statistical Practice and Data Analysis (3 units)

or any four-unit letter grade graduate level course in Statistics.

(continued on next page)



## Elective courses continued

At least one course selected from:

ECS 122A, B Algorithm Design and Analysis (4 units each)

ECS 165A, B Database Systems (4 units each)

ECS 170 Artificial Intelligence (4 units)

ECS 171 Machine Learning (4 units)

ECS 289G Special Topics in Computer Science (4 units)

A third elective course taken from Mathematics, Computer Science, or related disciplines (with approval of the Graduate Advisor). (4 units)

## Summary:

Full-time students must enroll for 12 units per quarter including research, academic and seminar units. Courses that fulfill any of the program course requirements may not be taken S/U unless the course is normally graded S/U. Once course requirements are completed, students can take additional classes as needed, although the 12 units per quarter are generally fulfilled with a research class (299) and perhaps seminars, or additional electives, approved by one of the Graduate Advisors. Per UC regulations, students should not ordinarily enroll in more than 12 units of graduate level courses (200) or more than 16 units of combined undergraduates and graduate level (100, 200, 300) courses per quarter.

Standard Track: 32 units of core coursework and 12 units of electives are required for a total of 44 units.

Emphasis in Data Science Track: 36 units of core coursework and 12 units of electives are required for a total of 48 units.

## COMMITTEES

**ADMISSIONS COMMITTEE:** Once applications and relevant materials are submitted to the program, they are reviewed by the admissions committee, which consists of four or five faculty members. Once a decision has been made to admit or deny an applicant, the admissions chair forwards the committee's recommendation to the Dean of Graduate Studies for approval. The priority application deadline for entry in Fall of the next academic year is January 15; applications are accepted through March 1.

**COMPREHENSIVE EXAMINATION COMMITTEE:** The Chair of the Graduate Program in Statistics (GPS) will appoint an examination committee that will be responsible for preparing, administering and grading the examination. The same exam will be given to the students simultaneously. This committee will also make the final decision on each student. If the committee does not reach a decision on a student, the GPS executive committee will be responsible for making the pass-no pass decision.



**ADVISING COMMITTEE:** There are four faculty members of the advising committee for the Master's program, chaired by the Master Graduate Advisor, appointed by the program chair and approved by the Dean of Graduate Studies.

### **ADVISING & MENTORING**

The Master Graduate Advisor assists M.S. students in developing a study plan, and has signatory authority for the Master's program. A copy of the Statistics Mentoring Guidelines can be found at <http://anson.ucdavis.edu/mentor.pdf>.

### **ADVANCEMENT TO CANDIDACY**

Plan II M.S. Candidates must file an Advancement to Candidacy form (<http://www.gradstudies.ucdavis.edu/forms>) after completing one-half of their course requirements for their degree requirements (18 units) and at least one quarter before completing all their degree requirements.

### **M.S. COMPREHENSIVE EXAMINATION**

Every M.S. Plan II student needs to pass a comprehensive exam, to continue in the program. The M.S. Comprehensive Examination is a written examination. The examination may include the use of statistical software and may be offered in a computer lab. The examination is taken at the end of the Winter quarter (during Spring Break) upon completion of STA 206 and STA 207. If a student does not attempt the examination upon completion of those courses it will be counted as not passing the comprehensive exam.

Should a student not pass the written comprehensive exam, the student will be offered a second comprehensive examination in the Spring quarter following the first attempt. If a student does not attempt the second comprehensive exam, it will be counted as a failure.

Failure to pass the comprehensive exam at the second attempt will result in a recommendation to the Dean of Graduate Studies for disqualification of the student from the graduate program.

For students who entered the graduate program as Ph.D. students but subsequently change their degree objective to the M.S. program, passing the STA 232AB part of the program's pre-qualifying Ph.D. written exam is considered as passing the comprehensive exam.

## PELP, IN ABSENTIA & FILING FEE STATUS

Information about PELP (Planned Educational Leave), In Absentia (reduced fees when researching out of state), and Filing Fee status can be found on the Graduate Studies website:

<https://grad.ucdavis.edu/academics/registration/options-non-registered-students>, <https://grad.ucdavis.edu/academics/registration/absentia>.

## TYPICAL TIME LINE AND SEQUENCE OF EVENTS

The normative time to degree in the Statistics M.S. program is four to five quarters. Graduate Students must be enrolled in a minimum of 12 units every quarter. These 12 units can be made up of both required courses and 299 variable unit courses.

The following would be a typical program.

### Year 1:

Fall	Winter	Spring
STA 200A	STA 200B	STA 200C
STA 206	STA 207	STA 208
Elective	STA 135	STA 243
	M.S. Comprehensive Exam	

### Year 2:

#### Fall

Electives/internship (12 units)

In the two-year program, the first year would include more undergraduate level preparatory courses, while the second year students would take the graduate level series. The following would be a typical program for a student requiring two years.

### Year 1:

Fall	Winter	Spring
STA 106	STA 108	STA 200C
STA 200A	STA 200B	Elective
STA 141A	STA 135	Elective

### Year 2:

Fall	Winter	Spring
STA 206	STA 207	STA 208
Elective	Elective	STA 243
Elective	Elective	Elective
	M.S. Comprehensive Exam	

Please note that once all requirements for the Statistics M.S. degree are completed, students are required to graduate.

# THE Ph.D. PROGRAM IN STATISTICS

<https://www.stat.ucdavis.edu/grad/phd>

## ADMISSIONS REQUIREMENTS

An undergraduate major in mathematics or statistics is typical for statistics graduate students, but is not required. However, because of the mathematical nature of some of the graduate coursework, students should be able to demonstrate good mathematical ability.

The minimal background for entrance into the master's program is: a bachelor's degree with 3.0 overall grade-point average; facility with a programming language; and upper division work in mathematics and/or statistics; at least one semester or two quarters of advanced calculus at a level equivalent to MAT 25 and MAT 125A; and a quarter of linear algebra at a level equivalent to MAT 67. The program does not accept part-time students.

## PROGRAM OF STUDY

This degree is offered under Plan A which specifies a five member (minimum) dissertation/final examination committee and a final oral examination (defense of the dissertation).

A Ph.D. student will select an area of specialization and will choose a major dissertation advisor from Graduate Program in Statistics (GPS) faculty working in that area, usually in the second or third year of study. The student's program of study will be developed by the student jointly with the Graduate Advisor.

## COURSE REQUIREMENTS (52 UNITS)

### Required courses (34 units total):

STA231 A, B, C (4 units each)	STA 260 (3 units)
STA232 A, B, C (4 units each)	STA290 (1 unit) for three quarters
STA 242 or 243 (4 units)	STA390 (2 units)

### Elective courses (18 units total):

In addition, five elective graduate-level courses (at least 18 units total), out of which at least four must be from Statistics, from the following list of potential elective courses:

- STA 222, Survival Analysis (4 units)
- STA 223, Generalized Linear Models (4 units)
- STA 224, Analysis of Longitudinal Data (4 units)
- STA 225, Clinical Trials (4 units)

STA 226, Statistical Methods for Bioinformatics (4 units)  
STA 235A-235B-235C, Probability Theory (4 units each)  
STA 237A-237B, Time Series Analysis (4 units each)  
STA 250, Topics in Applied and Computational Statistics (4 units)  
STA 251, Topics in Statistical Methods and Models (4 units)  
STA 252, Advanced Topics in Biostatistics (4 units)

Please note that other graduate STA courses (STA 200ABC, STA 201, STA 206-207-208 for example) may not be used to satisfy the graduate elective requirement for the Ph.D. degree. If you have any questions please ask the Graduate Advisor or the graduate program coordinator.

### Summary:

All coursework (a total of at least 52 units) and the program of study must be approved by the Graduate Advisor.

## THE BIostatISTICS TRACK

The Graduate Program in Statistics offers the program Ph.D. in Statistics: BiostatisticsTrack as a subspecialty. [For more information see page 16](#)

## COMMITTEES

**ADMISSIONS COMMITTEE:** once applications and relevant materials are submitted to the program they are reviewed by the admissions committee, which consists of four to five faculty members. Once a decision has been made to admit or deny an applicant, the admissions committee chair forwards the committee's recommendation to the Dean of Graduate Studies for approval. The application and fellowships deadline for entry in Fall of the next year is January 15.

**ADVISING COMMITTEE:** there are four faculty members of the advising committee, chaired by the Master Graduate Advisor. The Master Graduate Advisor is identified the Chair of the program from the list of appointed Graduate Advisors, assists graduate students in developing a study plan, and has signatory authority for the Master's and Ph.D. programs.

**QUALIFYING EXAMINATION COMMITTEE:** the examining committee will be appointed in accordance with the policies of the Graduate Council and Office of Graduate Studies at the recommendation of the Graduate Advisor who consults with the student prior to making the recommendation. The major professor is not eligible to serve as chair of the examining committee.

**DISSERTATION COMMITTEE:** the student, in consultation with their major

professor, nominates five qualified faculty members to serve on the Dissertation Committee. These nominations are submitted to the Office of Graduate Studies for formal appointment in accordance with Graduate Council Policy (DDB 80. Graduate Council B.1.). The major professor serves as Chair of the committee.

### ADVISING STRUCTURE AND MENTORING

The major professor is the faculty member who supervises the research and dissertation; this person serves as the Chair of the Dissertation Committee. The Master Graduate Advisor is identified by the chair of the program from among the appointed Graduate Advisors, assists graduate students in developing a study plan, and has signatory authority for the Master's and Ph.D. programs. A copy of the Statistics Mentoring Guidelines can be found at <http://anson.ucdavis.edu/mentor.pdf>.

### ADVANCEMENT TO CANDIDACY

The student is eligible for advancement to Candidacy for the Ph.D. degree upon completion of all course requirements and after passing the Ph.D. Qualifying Examination, normally in the fifth quarter.

### EXAMINATION AND DISSERTATION REQUIREMENTS

#### Ph.D. Pre-qualifying Written Examination

The Ph.D. Pre-qualifying Written Examination will be given at the beginning of each Spring Quarter and also at the beginning of each Fall Quarter. Students in the Ph.D. program must attempt the exam in the Spring Quarter immediately after they complete both the STA 231AB and STA 232AB core course series. If a student does not attempt the examination at this time, it will be recorded as a 'no pass'. Every Ph.D. student needs to pass the examination in a maximum of two attempts. In case of not pass at the first attempt, the second attempt must take place at the next time the examination is offered, and if a student does not attempt the exam at that time, it will be counted as a failure. Two 'not passes' of the examination will result in a recommendation to the Dean of Graduate Studies for discontinuation of the student in the Ph.D. program.

The Ph.D. Pre-qualifying Written Examination is a written exam with two separate parts: a theoretical part and an applied part. The duration of each part is about 3-4 hours. The applied part may be offered in a computer lab and may include the use of statistical software. If at the first attempt one part is passed but the other is not, only the part which was not passed must be repeated at the next attempt.

The Chair of the Graduate Program in Statistics (GPS) will appoint an ex-

amination committee that will be responsible for preparing, administering and grading the examination. This committee will forward its recommendation to the GPS, which will make the final decision on each student.

### Ph.D. Qualifying Examination

The Ph.D. Qualifying Examination (QE) is an oral exam whose purpose is to determine if the student is capable of independent research. The QE will be composed of a forty-five minute presentation given by the student and is followed by a question period which covers a special research topic as well as coursework in general. A student who passes the QE is eligible for advancement to candidacy for the Ph.D. degree. The QE is expected to be attempted within one year from the quarter in which the student passes the Ph.D. Pre-qualifying Written Examination, but no later than the end of the student's third year of the Ph.D. program. In consultation with the Dissertation Adviser, the student will submit to the Graduate Advising Committee (GAC) a date for the exam and a dissertation proposal.

1. The dissertation proposal should be between three and five pages in length and should contain an outline of the general context of the thesis research, a description of the special problem(s) to be addressed, and an indication of the methods and techniques to be used.

2. A draft version of the proposal must be submitted to the GAC for the purpose of determination of the composition of the QE committee at least 6 weeks before the proposed date of the exam. The student must submit a final version of the proposal to the QE committee a week before the exam date.

3. Based on the proposal, the GAC will recommend the appointment of a committee of five examiners to Graduate Studies (in consultation with the student and the student's Dissertation Adviser). Normally the exam committee will be composed of four members from the Department of Statistics. Per Graduate Council guidelines, at least one member must be external to the Graduate Program in Statistics. The student's intended Dissertation Adviser (and/or co-adviser) is not eligible to serve on the Qualifying Examination committee.

A student who passes the Ph.D. Qualifying Examination is eligible for Advancement to Candidacy for the Ph.D. degree. The student must file the appropriate paperwork with the Office of Graduate Studies and pay the candidacy fee to be promoted to Candidacy for the Ph.D. degree.

### Final Examination

Defense of the dissertation before the dissertation committee will constitute the final examination for the Ph.D. degree. The final examination must be

passed within four years after promotion to Candidacy, unless a special exception is granted. Pass or no pass is determined by a vote of the dissertation committee. The title and abstract of the Ph.D. Defense presentation must be submitted to the graduate program coordinator one week ahead of the defense. This will be distributed to all faculty and students of the Graduate Program in Statistics, who are invited to attend the presentation portion of the examination. The subsequent question period is a closed session between the student and the committee.

## Dissertation

The doctoral dissertation is an essential part of the Ph.D. program. A topic will be selected by the student, under the advice and guidance of a major professor (thesis adviser) and the dissertation committee chaired by the major professor. Students are encouraged to begin some research activity as early as possible during the second year of their graduate studies. The dissertation must contain an original contribution of publishable quality to the knowledge of statistics that may expand the theory or methodology of statistics, or expand or modify statistical methods to solve a critical problem in applied disciplines. Acceptance of the dissertation by three designated members of the dissertation committee follows Graduate Studies guidelines (Plan A with defense). The dissertation must be completed and submitted to the dissertation committee prior to taking the final examination. Students should be guided on matters of style by the chair and members of the thesis/dissertation committee. Graduate Studies is not concerned with the form of the bibliography, appendix, footnotes, etc. as long as they are done in some acceptable, consistent and recognized manner approved by your committee. (See <https://grad.ucdavis.edu/current-students/academic-services-information/filing-thesis-or-dissertation>)

## TYPICAL TIME LINE AND SEQUENCE OF EVENTS

The normative time to degree is four to five years. Every full-time student at UC Davis is required to take a minimum of 12 units of coursework per quarter. Students will generally take additional electives later on, in consultation with their major professor.

The following track will be a typical program for a well-prepared student seeking a Ph.D. degree.

### Year 1:

#### Fall

STA 231A  
STA 232A  
STA 290  
STA 390

#### Winter

STA 231B  
STA 232B  
Statistics Elective  
STA 290

#### Spring

STA 231C  
STA 232C  
STA 260  
Ph.D. Written Exam



## Year 2:

### Fall

Statistics Elective

Statistics Elective

Statistics Elective

### Winter

STA 242

STA 299

### Spring

Research

STA 260

Ph.D. Qualifying Exam

## Years 3, 4:

Complete requirements for the Ph.D. degree, including Dissertation and Defense

## PELP, IN ABSENTIA, AND FILING FEE STATUS

Students requiring time away from the program can opt to go on PELP. Please note students cannot go on PELP for research purposes. Full information about PELP, In Absentia, and Filing Fee status can be found on the Graduate Studies website:

<https://grad.ucdavis.edu/academics/registration/options-non-registered-students>, <https://grad.ucdavis.edu/academics/registration/absentia>.

## LEAVING THE PROGRAM PRIOR TO COMPLETION

Should a student leave the program prior to completing the requirements for the PhD, they may still be eligible to receive the masters if they have fulfilled all the requirements for that degree (see masters requirements). Passing the PhD pre-qualifying written exam is considered as passing the MS comprehensive exam. Students may use the Change of Degree Objective form available from the Grad Studies site: <https://grad.ucdavis.edu/financial-support/forms#>.

## COMPLETING THE PROGRAM

Once a student has completed the dissertation and passed the final examination, they must file the dissertation online and arrange an appointment with the student affairs officer at the Office of Graduate Studies, to which the student must bring the original Plan A Exam Report Form, the Program Exit Form, the original signed dissertation title page and copies of the abstracts, along with any other relevant forms that are requested. Once this meeting has taken place, the student has officially completed the program. Please see the academic calendar on page 30 for all of the deadlines.

Official diplomas are usually given a few months after the degree date. Full information about the completion process can be found on the Graduate Studies website: <https://grad.ucdavis.edu/academics/finishing-your-degree/filing-thesis-or-dissertation>.

## THE Ph.D. PROGRAM IN STATISTICS: BIostatISTICS TRACK

<https://statistics.ucdavis.edu/grad/phd-program-statistics-biostatistics-track>

The Graduate Program in Statistics offers the program Ph.D. in Statistics: Biostatistics Track as a subspecialty. Biostatistics may be understood as the application of statistical methods in the biological, medical, agricultural and environmental sciences, as well as the study of statistical methodology concerning problems and statistical areas originating from such scientific fields. This subspecialty builds on the strong, diverse Statistics program and the UC Davis environment of highly regarded programs in Biological Sciences, Veterinary Medicine, and Agricultural and Environmental Sciences, as well as the School of Medicine. The collective research interests of the GPS faculty include a broad range of topics in Biostatistics.

Students who wish to enroll in the Biostatistics track are encouraged to do so as early as possible. Enrollment may be declared anytime prior to the Ph.D. Qualifying Exam. On the Ph.D. diploma, transcripts and the first title page of the Ph.D. thesis, the program will still be denoted as "Statistics". Completion of this program will be recognized by a letter from the GPS Chair, stating that the student has completed all requirements of the Biostatistics track.

Admissions requirements are the same as for the Ph.D. program, as are dissertation and examination requirements; coursework requirements are as follows:

### PROGRAM OF STUDY

A Ph.D. student in this program will select an area of specialization within Biostatistics and will choose a dissertation advisor from GPS faculty working in Biostatistics, usually in the second or third year of study. The student's program of study will be developed by the student jointly with the Graduate Advisor.

#### Required Courses (46 units):

STA 231 A, B, C (4 units each)	STA 290 (1 unit) for three Quarters
STA 232 A, B, C (4 units each)	STA 390 (2 units)
STA 222 (4 units)	STA 260 (3 units)
STA 223 (4 units)	STA 242 or 243 (4 units)
STA 224 (4 units)	

In addition, one life sciences course (non-quantitative biology course) at the upper division or graduate level (4 units) and one elective graduate course from Statistics or Biostatistics (at least 3 units). All coursework (a total of at least 53 units) and the program of study must be approved by the Graduate Advisor.

# STATISTICS COURSES

## Lower Division Courses

10	Statistical Thinking (4)
12	Introduction to Discrete Probability (4)
13	Elementary Statistics (4)
13V	Elementary Statistics (Web Based) (4)
32	Basic Statistical Analysis Through Computers (3)
90X	Seminar (1-2)
98	Directed Study (1-5)
99	Special Study for Undergraduates (1-5)

## Upper Division Courses

100	Applied Statistics for Biological Sciences (4)
102	Introduction to Probability Modeling and Statistical Inference (4)
103	Applied Statistics for Business and Economics (4)
104	Applied Statistical Methods: Nonparametric Statistics (4)
106	Applied Statistical Methods: Analysis of Variance (4)
108	Applied Statistical Methods: Regression Analysis (4)
120	Probability and Random Variables for Engineers (4)
130A- 130B	Mathematical Statistics: A Brief Course (4, 4)
131A	Introduction to Probability Theory (4)
131B- 131C	Introduction to Mathematical Statistics (4, 4)
133	Mathematical Statistics for Economists (4)
135	Multivariate Data Analysis (4)
137	Applied Time Series Analysis (4)
138	Analysis of Categorical Data (4)
141	Statistical Computing (4)
142	Reliability (4)
144	Sampling Theory of Surveys (4)
145	Bayesian Statistical Inference (4)
190X	Seminar (1-2)
192	Internship in Statistics (1-12)
194H / 194 A-B	Special Studies for Honors Students (4, 4)
198	Directed Group Study (1-5)
199	Special Study for Advanced Undergraduates (1-5)

## Graduate Level Courses

205	Statistical Methods for Research (4)
222*	Biostatistics: Survival Analysis (4)
223*	Biostatistics: Generalized Linear Models (4)
224*	Analysis of Longitudinal Data (4)
225	Clinical Trials (4)
226	Statistical Methodology for Bioinformatics (4)
231A- 231B- 231C	Mathematical Statistics (4, 4, 4)
232A- 232B- 232C	Applied Statistics (4, 4, 4)
233	Design of Experiments (3)
235A- 235B- 235C**	Probability Theory (3,3,3)
237A- 237B	Time Series Analysis (4, 4)
238	Theory of Multivariate Analysis
240A- 240B	Nonparametric Statistics (4, 4)
241	Asymptotic Theory of Statistics (4)
242	Statistical Programming (4)
243	Computational Statistics (4)
250	Topics in Applied and Computational Statistics (4)
251	Topics in Statistical Methods and Models (4)
252*	Advanced Topics in Biostatistics
260	Statistical Practice and Data Analysis
280	Orientation to Statistical Research (2)
290*	Seminar in Statistics (1)
298*	Group Study (1-5)
299*	Special Study for Graduate Students (1-12)
299D*	Dissertation Research (1-12)
390	Methods of Teaching Statistics (2)
401	Methods in Statistical Consulting (3)

\* course jointly listed with Biostatistics

\*\* course jointly listed with Mathematics

## SPECIAL REGULATIONS

For a list of available forms, policies and by-laws, please visit:

<https://statistics.ucdavis.edu/grad/forms-and-policies>

### DOUBLE-MAJOR PROGRAM

Current UC Davis graduate students can apply to enter the M.S. program in Statistics as a Double Major during any quarter. To apply, you will need to submit transcripts, GRE scores, three letters of recommendation and a personal statement to the M.S. program coordinator by the published quarterly deadline - please see the Statistics website at <https://statistics.ucdavis.edu/grad/double-major-ms-admissions> for full details. Applications are reviewed once per quarter by the admissions committee. If admitted, students should make an appointment with the MS graduate advisor to discuss their study plan. They must also complete a Double Major petition, to be signed by the graduate advisor of their present program, and then by the admissions chair for Statistics. This form is then submitted to the graduate program coordinator. There will, however, usually be no financial support available for such students.

Under a Graduate Council ruling, a student in a double major program may transfer up to 12 units from one program to another with the approval of the graduate advisor and the Dean of Graduate Studies. The student must spend a minimum of two quarters in regular graduate standing in the Statistics master's program to meet the residency requirements of the Graduate Division.

### TRANSFER CREDIT

Master's students may request to transfer 6 units of required credit from an accredited non-UC campus, up to one-half of the quarter-units from another UC campus, or 12 units from UC Davis Extension to their graduate transcript. Only graduate and upper division coursework may be transferred; lower division coursework is not transferrable. For doctoral students, some work taken elsewhere may be used to satisfy certain degree requirements with the consent of the Graduate Advisor and the Dean of Graduate Studies.

**FROM UNDERGRADUATE:** Up to 6 units of graduate work (that is, only 200-level courses) taken by an undergraduate student may be credited toward their graduate degree program. This does not apply if units were used to satisfy any requirements for the bachelor's degree

### RESIDENCE REQUIREMENTS

The minimum residence requirement at the University of California is three quarters for the Master's degree, nine quarters for the degree of Juris Doctor, and six quarters for the degrees of Doctor of Philosophy and Doctor of Engineering, as is prescribed by UC Senate Regulations. Please note

that per our degree requirements students must be enrolled full-time in a minimum 12 units each quarter.

### REPEAT COURSES AND INCOMPLETE GRADE ASSIGNMENT

Any graduate student may, with the consent of the graduate Advisor and the Dean of the Graduate Studies, repeat a course in which a grade of C, D, F, or Unsatisfactory was assigned, up to a maximum of nine units. Any repeated course, except for courses offered only on a S/U basis, must be taken for a letter grade.

The grade of Incomplete ("I") must be removed before the end of the third succeeding quarter of academic residence. In the event a student accumulates more than 8 units of Incomplete, the student shall be subject to disqualification.

A statistics graduate student will be prohibited from taking a qualifying examination if 8 units of "I" appear on the scholastic record or if any of the "I" grades were received for courses required for the master's or Ph.D. degrees.

### MINIMUM GPA REQUIREMENT

Graduate students must at all times maintain a cumulative and per quarter GPA of 3.0 or higher to remain in good standing. They also need to make normal progress towards their degree. The sample study plans provided above serve as guidelines for normal progress.



## FINANCIAL SUPPORT

Many doctoral students in good standing receive some form of financial aid. The department offers funding in the form of employment as a Teaching Assistant (TA), Graduate Student Researcher (GSR), Associate Instructor (AI), or Reader. To hold one of these appointments, students must be enrolled in at least 12 units and maintain a minimum GPA of 3.0.

### Teaching Assistant ("TA")

TAs are employed at either 25% and 50% time (10 to 20 hours per week), and currently (2020-21) receive a monthly salary of between \$1253.83 and \$2457.51. In addition, TAs receive remissions covering most of their in-state fees. TA Duties may include (among other assignments) discussion sessions, office hours, problem-solving, preparing handouts, preparing, setting up and holding computer lab sessions, grading exams and homework. Teaching assistants who have performed satisfactorily and who are making normal progress toward their degrees have preference for renewal. A teaching assistant who has not yet taken STA 390 must take it at the first available offering. All TAs are required to take the TA Orientation offered by UC Davis, per campus policy. International TAs must satisfy UC Davis requirements on English language. Teaching assistantships are governed by a union contract: <http://uaw2865.org/know-your-rights/contract/>

### Associate Instructor ("AI")

AIs are in charge of instruction of record for the course, and for supervision of Teaching Assistants. An AI is employed at 50%. An AI currently (2020-21) receives a monthly salary of approximately \$2627.34, and receives the same fee remissions as a TA.

### Graduate Student Researcher ("GSR")

GSRs are hired by a faculty member to conduct research. They are employed at either 25% or 49% time, and their monthly salary depends on how advanced they are in their degree. Those who have not yet advanced to PhD candidacy are appointed at Step IV, receiving between \$1149.23 and \$2252.49 per month during the academic year (in summer it is possible to work up to 100%). Students who have passed their PhD Qualifying Exam and advanced to candidacy are appointed at Step V, receiving between \$1225.71 and \$2402.39 per month. GSRs receive full remissions on their in-state fees and on their non-resident tuition. Students usually apply to a faculty member offering the research assistantship on an individual basis. It is possible to be a TA and GSR simultaneously (25% each).

### Readers

Readers grade homework and may hold an appointment as a reader for a maximum of 19 hours a week. The current (2020-21) rate for graduate readers

is \$17.26 per hour.

## SCHOLARSHIPS AND FELLOWSHIPS

Awards are made as a mark of honor, primarily on the basis of scholarship and promise of outstanding academic and professional contribution. Application forms and supporting documents, including GRE scores and letters of recommendation, must be filed online by January 15.

### Non-resident Supplemental Tuition Fellowships

US citizens, permanent residents, or immigrants, who are not legal residents of California are eligible for a nonresident tuition fellowship in their first year only. All non-resident students admitted to the Ph.D. program are considered. U.S. citizens must become California residents after one year.

### Other Financial Aid

Other forms of financial assistance, such as grants, loans, work-study positions are available to graduate students. Contact the Graduate Financial Aid Services, 1100 Dutton Hall, [(530) 752-9246] for information.

## GRADUATE FEES AND TUITION REMISSIONS

For the most up to date tuition and fee information, please see the UC Davis Finance & Business website: <https://financeandbusiness.ucdavis.edu/student-resources/tuition-fees/graduate>.

Eligibility and Remission Benefit information can be found on the Graduate Studies website: <https://grad.ucdavis.edu/eligibility-remission-benefit>



# FACULTY

## OF THE STATISTICS GRADUATE PROGRAM

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## GRADUATE PROGRAM ROLES & COMMITTEES

2020-21

Program Chair: Alexander Aue

### Executive Committee (elected)

Alexander Aue (Chair)	Ethan Anderes	TBD	TBD
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### Graduate Advising Committee

New Ph.D. students should meet with Prof. Jie Peng to discuss course plans. Master's students should meet with Prof. Chris Drake. If you require a signature for a form you please see the primary graduate Advisor. If unavailable, any of the other members of the advising committee is authorized to sign. The Graduate Advisors are:

PhD Advising, including Awards	MS Advising
Jie Peng (Chair; PhD Advisor)	Chris Drake (MS Advisor)
Xiaodong Li	Mile Lopes
Hans-Georg Müller	James Sharpnack
Wolfgang Polonik	Jane-Ling Wang

### Admissions Committee (including IDP)

PhD Admissions	MS Admissions
Jie Peng (Admissions Chair)	Jie Peng (Admissions Chair)
Ethan Anderes	Xiucai Ding
Krishna Balasubramanian	Xiaodong Li
Jane-Ling Wang	Can Le
Jairo Fuquene Patino	Duncan Temple Lang

### Examinations Committees

Written PhD Pre-Qualifying Exam	Written MS Comprehensive Exam
Jiming Jiang (232B section) Chair	Jane-Ling Wang (Chair)
Debashis Paul (232A section)	Mile Lopes (200A section)
Ethan Anderes (231A section)	Jie Peng (206 section)
Wolfgang Polonik (231B section)	Shizhe Chen (207 section)

These are the committees most relevant to graduate students. For a full list of Graduate Program committees please visit the website:

## DEPARTMENT OF STATISTICS STAFF

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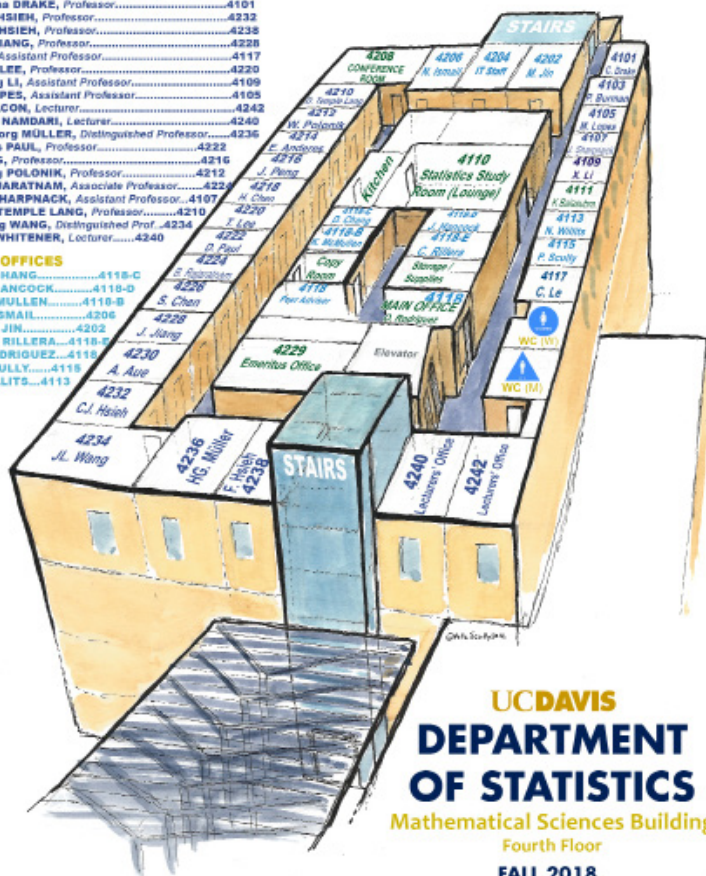
# FLOOR PLAN OF MATH SCIENCES BUILDING, 4th FLOOR

## FACULTY, INSTRUCTOR & POST-DOC OFFICES

Susan ALBER, Lecturer.....	4242
Ethan ANDERES, Associate Professor.....	4214
Alexander AUE, Professor & Chair.....	4230
Krishna BALASUBRAMANIAN, Assistant Professor.....	4111
Prabir BURMAN, Professor.....	4103
Shizhe CHEN, Assistant Professor.....	4226
Hao CHEN, Assistant Professor.....	4218
Christiana DRAKE, Professor.....	4101
Cho-Jui HSIEH, Professor.....	4232
Pushing HSIEH, Professor.....	4238
Jinming JIANG, Professor.....	4228
Can LE, Assistant Professor.....	4117
Thomas LEE, Professor.....	4220
Xiaodong LI, Assistant Professor.....	4109
Miles LOPES, Assistant Professor.....	4105
Erin MELCON, Lecturer.....	4242
Jamshid NAMDAK, Lecturer.....	4240
Hans-Georg MÜLLER, Distinguished Professor.....	4236
Debashis PAUL, Professor.....	4222
Jie PENG, Professor.....	4216
Wolfgang POLONIK, Professor.....	4212
Bala RAJARATNAM, Associate Professor.....	4224
James SHARPBACK, Assistant Professor.....	4107
Duncan TEMPLE LANG, Professor.....	4210
Jane-Ling WANG, Distinguished Prof.....	4234
Joanna WHITENER, Lecturer.....	4240

## STAFF OFFICES

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Jillian HANCOCK.....	4118-D
Kim MCMULLEN.....	4118-B
Nehad ISMAIL.....	4206
Michael JIN.....	4202
Cristeta RILERA.....	4118-E
Olga RODRIGUEZ.....	4118-F
Pete SCULLY.....	4118
Neil WILLITS.....	4113



**UC DAVIS**  
**DEPARTMENT**  
**OF STATISTICS**  
 Mathematical Sciences Building  
 Fourth Floor  
 FALL 2018

## SOME USEFUL LINKS

Office of Graduate Studies  
(530) 752-0650  
<http://gradstudies.ucdavis.edu>

Registrar's Office  
(530) 752-2973  
<http://registrar.ucdavis.edu/>

Services for International Students and Scholars (SISS)  
(530) 752-0864  
<http://siss.ucdavis.edu>

# The University of California, Davis

## CODE OF ACADEMIC CONDUCT

### Honesty, Fairness, Integrity

This Code of Academic Conduct exists to support high standards of behavior and to ensure fair evaluation of student learning. Students who violate the Code of Academic Conduct are subject to disciplinary sanctions that include censure, probation, suspension, deferred separation, or dismissal from the University of California. Unless specifically authorized by the instructor in writing, misconduct includes, but is not limited to the following:

#### § Academic misconduct on exams or other coursework

- Copying or attempting to copy from another student, allowing another student to copy, or collaborating with another student on an exam
- Displaying or using any unauthorized material such as notes, cheat-sheets, or electronic devices
- Looking at another student's exam
- Not following an instructor's directions regarding an exam
- Talking, texting or communicating during an exam
- Altering assignments or exams for re-grading purposes
- Bringing pre-written answers to an exam
- Having another person take an exam for you, or taking an exam for another student
- Theft of academic work
- Unexcused exit and re-entry during an exam period

#### § Plagiarism

- Taking credit for any work created by another person; work includes, but is not limited to books, articles, experimental methodology or results, compositions, images, lectures, computer programs, or internet postings
- Copying any work belonging to another person without indicating that the information is copied and properly citing the source of the work
- Using another person's presentation of ideas without putting such work in your own words or form and giving proper citation
- Creating false citations that do not correspond to the information you have used
- Plagiarizing one's own work

#### § Unauthorized collaboration

- Working together on graded coursework without permission of the instructor
- Working with another student beyond the limits set by the instructor
- Providing or obtaining unauthorized assistance on graded coursework

#### § Misuse of an instructor's course materials or the materials of others

- Posting or sharing any course materials of an instructor without the explicit written permission of that instructor
- Purchasing or copying assignments or solutions, to complete any portion of graded work, without the instructor's permission
- Unauthorized use of another student's work

#### § Lying or fraud

- Giving false excuses to obtain exceptions for deadlines, to postpone an exam, or for other reasons
- Forging signatures or submitting documents containing false information
- Making false statements regarding attendance at class sessions, requests for late drops, incomplete grades, or other reasons

#### § Intimidation or disruption

- Pressuring an instructor or teaching assistant to regrade work, change a final grade, or obtain an exception such as changing the date of an exam, extending a deadline, or granting an incomplete grade
- Refusing to leave an office when directed to do so



- Physically or verbally intimidating or threatening an instructor, teaching assistant or staff person, including yelling at them, invading personal space, or engaging in any form of harassment
- Repeatedly contacting or following an instructor, teaching assistant, or staff person when directed not to do so
- Misusing a classroom electronic forum by posting material unrelated to the course
- Interfering with an instructor's or teaching assistant's ability to teach a class, or interfering with other students' participation in a class by interrupting, physically causing a disruption, or excessive talking

## Upholding the UC Davis Code of Academic Conduct

Students, faculty, and University administration all have a role in maintaining an honest and secure learning environment at UC Davis.

§ The success of our Code of Academic Conduct depends largely on the degree that it is willingly supported by students.

Students:

- Are responsible to know what constitutes cheating. Ignorance is not an excuse.
- Are required to do their own work unless otherwise allowed by the instructor.
- Are encouraged to help prevent cheating by reminding others about this Code and hold each other accountable by reporting any form of suspected cheating to the University.
- Shall respect the copyright privileges of works produced by faculty, the University, and other copyright holders.
- Shall not threaten, intimidate, or pressure instructors or teaching assistants, or interfere with grad-ing any coursework.
- Shall not disrupt classes or interfere with the teaching or learning environment.

§ Faculty members and instructors are responsible for teaching courses and evaluating student work, and are governed by University of California and UC Davis policies and regulations. Regulation 550 of the Davis Division of the Academic Senate addresses academic misconduct. Faculty and instructors:

- Will provide students with a course outline containing information about the content of the course, amount and kind of work expected, examination and grading procedures and notice of the Code of Academic Conduct.
- Should monitor examinations to help prevent academic misconduct.
- Shall report all suspected cases of cheating and other misconduct to the Office of Student Support and Judicial Affairs (<http://ossja.ucdavis.edu/>).

§ The University has delegated authority and responsibility to the Office of Student Support and Judicial Affairs (OSSJA) for the adjudication and resolution of academic misconduct cases. OSSJA maintains records of academic misconduct. The University:

- Shall educate faculty and students about the Code of Academic Conduct.
- Shall provide physical settings such as classrooms and labs for examinations that minimize opportunities for academic misconduct.
- Shall assist and train faculty and teaching assistants about how to prevent and address academic misconduct.

§ Submitting Reports and Judicial Procedures:

- The Code of Academic Conduct governs academic conduct at UC Davis.
- Faculty have sole authority, as granted by the Academic Senate, to evaluate a student's academic performance and assign grades. If academic misconduct is admitted or established, instructors may assign a grade penalty no greater than "F" for the course in question. If a report is pending at the end of an academic term, instructors should assign a temporary grade of "Y" for the course until the report is resolved.

§ A faculty/student panel, convened by OSSJA, shall conduct formal hearings to adjudicate contested cases of academic misconduct, unless the right to a formal hearing has been withdrawn. The right to a formal hearing may be withdrawn because of a prior finding of misconduct.

## ACADEMIC CALENDAR

2020-21

Campus Dates	Fall '19	Winter '20	Spring '20
Quarter begins	Sept 28	Jan 4	Mar 25
Instruction begins	Sept 30	Jan 4	Mar 29
Instruction ends	Dec 11	Mar 12	June 3
Quarter ends	Dec 18	Mar 19	June 10
10th Day of Instruction (Drop designated 10 day drop courses; PELP deadline)	Oct 13	Jan 15	Apr 9
12th Day of Instruction (Wait list ends; last day to add without a PTA)	Oct 15	Jan 20	Apr 13
20th Day of Instruction (20 day drop courses)	Oct 27	Feb 1	Apr 23
Holidays	Nov 11, 26, Dec 24, 31	Jan 1, 18, Feb 15	Mar 22, 26 May 31
Diploma Date	Dec 18, 2020	Mar 19, 2021	June 10, 2021
Graduate Commencement			June TBD

Statistics Department Dates	
New Student Orientation	September 2020
PhD Written Pre-Qualifying Exam	Spring Break 2021
MS Written Comprehensive Exam	Th, March 25, 2021
Department Spring Picnic	June 2021

All dates subject to change

PhD Deadlines			MS Deadlines		
DEGREE LIST	File PhD Candidacy*	File PhD Thesis online	DEGREE LIST	File MS Candidacy*	Final Date for MS Exam**
Fall 2020	Oct 9	Nov 20, 2020	Dec 2021	Nov 6	Dec 18, 2020
Winter 2021	Jan 15	Feb 26, 2021	Mar 2022	Feb 5	Mar 19, 2021
Spring 2021	April 9	May 21, 2021	June 2021	April 22	June 10, 2021
Fall 2021	July 30	Sep 10, 2021	Sept 2021	July 30	Sep 10, 2021

\*Candidacy paperwork filing dates are suggestions and not firm deadlines. PhD Thesis filing dates however are firm deadlines.

\*\*Final date for MS Exam report to be submitted to Graduate Studies upon completion of coursework; the Statistics MS Exam is on a set date, in March 2021.

# UC DAVIS

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## DEPARTMENT OF STATISTICS

Please visit the department website:

<http://www.stat.ucdavis.edu>

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